

Office for Students Ongoing Conditions of Registration 2023/24

OfS Condition	Executive and Governance Leads	Evidence of Compliance
<p><u>Section A: Access and Participation for students from all backgrounds</u></p> <p>Condition A1</p> <p>The University must:</p> <ol style="list-style-type: none"> 1) Have in force an access and participation plan approved by the OfS in accordance with the Higher Education and Research Act 2017 (HERA). 2) Take all reasonable steps to comply with the provisions of the plan. 	<p>Pro Vice-Chancellor Education</p> <p>The Board of Governors has delegated responsibility for monitoring progress against the APP to the Academic Assurance and Regulation Committee (AARC).</p>	<ol style="list-style-type: none"> 1) The current Access and Participation (APP) is approved by the Office for Students and is available via its website APP for 2020/21 to 2024/25. <p>The APP for 2025/26 to 2029/30 was submitted to the Office for Students and received formal approval in November 2024. The APP was reviewed by the University Executive Team (UET) before submission to the Office for Students. This will be published on the OfS website in due course.</p> <ol style="list-style-type: none"> 2) Monitoring of compliance to the provisions of NTU's 2020/21 to 2024/25 Plan is overseen by the University's Success for All Steering Group, which has a broad range of expertise. This group also oversaw the writing of the 2025/26 to 2028/29 Plan. The Plan itself is completed by a sub-group. <p>AARC receives a report summarising progress towards the Plan annually in March. This report is also provided to UET and the Success for All Steering Group.</p>
<p>Condition A2</p> <p>The University must:</p> <ol style="list-style-type: none"> 1) Publish an access and participation statement. 2) Update and re-publish this statement on an annual basis. 	<p>Pro Vice-Chancellor Education</p> <p>Pro Chancellor and Chair of the Board is responsible for providing confirmation to the Office for Students (OfS) regarding publication.</p>	<p>The University publishes the following on the NTU website:</p> <ul style="list-style-type: none"> • The APP, both in summary form and the full document; and • Annual Fee Summary Statement.

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<p><u>Section B: Quality, reliable standards and positive outcomes for all students</u></p>	<p>Pro Vice-Chancellor Education</p> <p>Academic Board</p> <p>Academic Assurance and Regulation Committee (AARC)</p>	<p>The Academic Assurance and Regulation Committee (AARC) is responsible, on behalf of the Board of Governors, for ensuring effective academic governance. To support AARC, evidence of compliance is provided in a framework for annual assurance mapped to the B Conditions of Registration.</p> <p>The framework was revised by ASQC in 2024/25. This was reported to the Academic Board on 6 November 2024 and to AARC on 14 November 2024. As a result of this revised framework, ASQC will have greater direct oversight of activity that is reported elsewhere in the University but is aligned to the B Conditions of Registration. ASQC is also able to commission further exploration of areas of concern that have arisen from consideration of the evidence, such as deep dives or data analysis.</p> <p>A recent internal audit (presented to the Audit and Risk Management Committee in September 2024) concluded that the University has a mature and comprehensive academic and quality assurance framework and approaches compliance with the B Conditions through institutional level monitoring reports, which are themed and presented through Academic Quality and Standards Committee (ASQC).</p>

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<p>Condition B1</p> <p>The provider must ensure that the students registered on each higher education course receive a high quality academic experience¹.</p>	<p>Pro Vice-Chancellor Education</p> <p>Academic Board</p> <p>Academic Assurance and Regulation Committee (AARC)</p>	<p>As set out in its report to AARC on 14 November 2024 on Academic Assurance: Learning and Teaching, ASQC considered that the OfS Conditions of Registration B1 and B2, in relation to the evidence presented for learning and teaching, continues to be met and the risk of future breach of this condition is low.</p> <p>The University has a comprehensive <u>Quality Handbook</u> in place and academic governance mechanisms to oversee its implementation.</p> <p>Both Academic Board and AARC receive Annual Reports for Notification of Extenuating Circumstances and Academic Appeals, Academic Integrity, Student Complaints, and the Student Code of Behaviour for each academic year, most recently in November 2024.</p> <p>Detailed evidence of compliance for B1 is set out in the report to AARC on 14 November 2024 and includes:</p> <ul style="list-style-type: none"> • Overview report from Course Approval subcommittee; • Overview report on Course Changes from SASQCs; • Annual Reports on NECs, student academic appeals, academic integrity, student complaints and student code of behaviour panels; • Annual Reports relating to Graduate Outcomes for class of 2021/22; • Annual Report on Success for All 2023/24; • Student satisfaction surveys: NSS, PTES 2023; • Assessment and Standards assurance report for 2023/24; • Outcomes of deep dives from UG outcomes report; • Assurance and impact reports for learning and teaching; and • Outcomes of deep dives from PG outcomes report.

¹ For the purposes of this condition, a high quality academic experience includes but is not limited to ensuring all of the following:

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<p>Condition B2</p> <p>The University must take all reasonable steps to ensure:</p> <p>a. each cohort of students registered on each higher education course receives resources and support which are sufficient for the purpose of ensuring:</p> <p>i. a high quality academic experience for those students; and</p> <p>ii. those students succeed in and beyond higher education; and</p> <p>b. effective engagement with each cohort of students which is sufficient for the purpose of ensuring:</p> <p>i. a high quality academic experience for those students; and</p> <p>ii. those students succeed in and beyond higher education.</p>	<p>Pro Vice-Chancellor Education</p> <p>Academic Board</p> <p>Academic Assurance and Regulation Committee</p> <p>Board of Governors</p>	<p>The arrangements for effective academic governance and regulatory compliance are fulfilled by ASQC to which academic assurance reports are provided throughout the year, on a themed basis. A summary of the report, together with the outcomes of the Committee's discussion are then reported to the Academic Board. These reports are all mapped to relevant B conditions, including B1 and B2.</p> <p>Detailed evidence of compliance for B2 is set out in the framework report to AARC on 14 November 2024 and includes:</p> <ul style="list-style-type: none"> • Annual Reports relating to Graduate Outcomes; • Annual Report on Success for All 2023/24; • Student satisfaction surveys: NSS, PTES 2023; • Assurance and impact reports for provision of resources to support student learning based on annual reports from CenSCE, DT, Employability, Estates, LLR, Student Services, Student Representation and engagement; and welcome and transition surveys; and • Outcomes of deep dives from Resources <p>The University delegates responsibility for the oversight of course standards and quality to School Academic Quality and Standards Committees (SAQSCs).</p> <p>Performance is monitored through Interim Course Review (ICR) on an annual basis and Periodic Course Review (PCR) on a three-year basis. The ICR considers performance and feedback data and PCR includes monitoring against B Conditions. The results of the Periodic Reviews are</p>

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- a. each higher education course is up-to-date;
- b. each higher education course provides educational challenge;
- c. each higher education course is coherent;
- d. each higher education course is effectively delivered; and
- e. each higher education course, as appropriate to the subject matter of the course, requires students to develop relevant skills.

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		<p>reported to the Academic Board (most recently on 6 November 2024 for onward submission to AARC on 14 November 2024).</p> <p>The University has achieved a Gold rating in the <u>Teaching Excellence Framework (TEF) for 2023</u> and the student experience was considered to be outstanding. Outstanding quality features included: teaching, feedback and assessment practices to support student learning, progression and attainment; support for staff professional development and excellent academic practice; a supportive learning environment and readily available academic support tailored to needs; and physical and virtual learning resources to support outstanding teaching and learning. Student outcomes were also considered to be typically very high quality, with very high rates of continuation, completion and successful progression.</p> <p>The complaints procedure is considered to be fair and transparent. Details of the <u>complaints process</u> can be found on Student Hub and the University website.</p> <p>Students are encouraged to respond to and engage with the National Student Survey (NSS), the Postgraduate Teaching Experience Survey (PTES) and the Postgraduate Research Experience Survey (PRES). Academic Board received the results of the PRES on 8 November 2024, and the results of the PTES and the NSS at its meeting on 6 November 2024.</p>

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<p>Condition B3</p> <p>The University must deliver positive outcomes² for students on its higher education courses.</p>	<p>Pro Vice-Chancellor Education</p> <p>Academic Board</p> <p>Academic Assurance and Regulation Committee</p> <p>Board of Governors</p>	<p>Detailed evidence of compliance for B3 is set out in the framework report to AARC on 14 November 2024 and includes:</p> <ul style="list-style-type: none"> • Undergraduate outcomes report including partnership outcomes • Annual reports relating to graduate outcomes, success for all and student satisfaction • Postgraduate outcomes assurance report; • Review of OfS B3 data; AND • Outcomes of Deep Dives into undergraduate and postgraduate outcomes. <p>The <u>Degree Outcomes Statement</u> describes the University's alignment with the expectations of the UK Quality Code and the Office for Students' ongoing conditions of registration that relate to protecting the value of qualifications. The Academic Board received the Degree Outcomes Statement on 12 June 2024 following its consideration by ASQC. The Degree Outcomes Statement was subsequently considered by AARC and approved by the Board of Governors.</p> <p>The results of the Graduate Outcomes Survey were considered by the University Executive Team (UET) on 17 June 2024. These outcomes were reported to the Audit and Risk Management Committee on 1 July 2024 as part of the Risk Management Update and to the Board of Governors on 2 July 2024 as part of the Vice-Chancellor's Update. On 6 November 2024, Academic Board received a full summary of these results for the class of 2022 for NTU in comparison with the sector and providing analysis of the underpinning factors.</p>

² Delivering positive outcomes means that either:

- a. in the OfS's judgement, the outcome data for each of the indicators and split indicators are at or above the relevant numerical thresholds; or
- b. to the extent that the University does not have outcome data for each of the indicators and split indicators that are at or above the relevant numerical thresholds, the OfS otherwise judges that:
 - i. the University's context justifies the outcome data; and/or
 - ii. this is because the OfS does not hold any data showing the University's numerical performance against the indicator or split indicator; and/or
 - iii. this is because the OfS does hold this data but the data refers to fewer than the minimum number of students.

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<p>Condition B4</p> <p>The University must ensure that:</p> <p>a. students are assessed effectively;</p> <p>b. each assessment is valid and reliable;</p> <p>c. academic regulations are designed to ensure that relevant awards are credible;</p> <p>d. subject to paragraph B4.3, in respect of each higher education course, academic regulations are designed to ensure the effective assessment of technical proficiency in the English language in a manner which appropriately reflects the level and content of the applicable higher education course; and</p> <p>e. relevant awards granted to students are credible at the point of being granted and when compared to those granted previously.</p>	<p>PVC Education</p> <p>Academic Board</p> <p>Academic Assurance and Regulation Committee/Board of Governors</p>	<p>Detailed evidence of compliance for B4 is set out in the framework report to AARC on 14 November 2024 and includes:</p> <ul style="list-style-type: none"> • Assessment and Standards Assurance Report; • Outcomes of Deep Dives from UG and PG Outcomes report; and • Outcomes of Deep Dives from the Assessment and Standards Assurance Report. <p>Academic Board has ultimate responsibility for the standards of the NTU awards. The annual quality assurance cycle ensures that evidence about standard setting at course approval, course review, and examination boards is considered by the various sub-committees of Academic Board. This includes outcomes from quality management processes which ensure the standards awards delivered through our partnership arrangements.</p> <p>The Degree Outcomes Statement outlines how the institution meets expectations set out in the UK Quality Code and the Office for Students' conditions of registration (B4 and B5) that relate to protecting the value of qualifications. It is updated on an annual basis. The statement is produced in line with guidance issued by the UK Standing Committee for Quality Assessment (UKSCQA). The Academic Board received the Degree Outcomes Statement on 12 June 2024 following its consideration by ASQC. The Degree Outcomes Statement was subsequently considered by AARC and approved by the Board of Governors.</p> <p>Professional, Statutory and Regulatory Bodies (PSRBs) are a diverse group of professional bodies, employers, and regulators which set standards for, and may regulate entry into, professions or professional bodies within Higher Education. Some are authorised to accredit, approve or recognise specific Higher Education qualifications leading to a relevant qualification. Recognition of a course by a PSRB has a positive impact on students' employment prospects and ensures that</p>

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		<p>the course remains current and aligned to employers' needs. At NTU we have arrangements with over 60 PSRBs, covering over 200 courses.</p> <p>The University has achieved a Gold rating in the <u>Teaching Excellence Framework (TEF)</u> for 2023. Teaching, feedback and assessment practices to support student learning, progression and attainment were considered to be outstanding.</p> <p>An internal audit conducted in June 2023 (IAS 22/10), concluded with reasonable assurance around the systems, processes and controls in place for the management of course assessment across schools.</p> <p>Section 15 of the <u>Quality Handbook</u> sets out clear principles and requirements in relation to assessment at the University. External examiners play a key role in the moderation of assessment tasks and judgements of student work. The Board of Examiners have delegated powers from the Academic Board for the determination of academic awards to students, and for decisions about the progression of students. Each award-bearing course must have a Board of Examiners to ensure there are consistent and fair arrangements for assessment.</p> <p>The language of assessment and teaching will usually be English. If this is not the case, the course team should ensure that academic standards are not at risk and this would require adequate demonstration at the course approval stage.</p>

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<p>Condition B5</p> <p>The University must ensure that, in respect of any relevant awards granted to students who complete a higher education course provided by, or on behalf of, the University (whether or not the University is the awarding body):</p> <p>a. any standards set appropriately reflect any applicable sector-recognised standards; and</p> <p>b. awards are only granted to students whose knowledge and skills appropriately reflect any applicable sector-recognised standards.</p>	<p>PVC Education Academic Board Academic Assurance and Regulation Committee/Board of Governors</p>	<p>Detailed evidence of compliance for B5 is set out in the framework report to AARC on 14 November 2024 and includes:</p> <ul style="list-style-type: none"> • Assessment and Standards Assurance Report; • Outcomes of Deep Dives from UG and PG Outcomes report; and • Outcomes of Deep Dives from the Assessment and Standards Assurance Report. <p>NTU is a member of the Quality Assurance Agency for Higher Education (QAA). Credit and Level Requirements are set out in Section 16 of the <u>Quality Handbook</u>. Awards are aligned to the appropriate level of the Framework for Higher Education Qualifications (FHEQ). Each award has a credit definition. Specific course outcomes for each course are articulated in course documentation. Course documentation sets out the specific criteria used in determining the classification of awards which follows the relevant grade based assessment (GBA) scheme as set out in Common Assessment Regulations (CAR).</p>
<p>Condition B6</p> <p>The University must participate in the Teaching Excellence Framework (TEF).</p>	<p>Deputy Vice-Chancellor and Provost and Pro Vice-Chancellor (Education) Academic Board Academic Assurance and Regulation Committee/Board of Governors</p>	<p>NTU participates in the <u>Teaching Excellence Framework</u>. In 2023, NTU received an overall rating of Gold.</p>

<p><u>Section C: Protecting the interests of all students</u></p> <p>Condition C1</p> <p>The University must demonstrate that in developing and implementing its policies, procedures and terms and conditions, it has given due regard to relevant guidance about how to comply with consumer protection law.</p>	<p>Head of Governance and Legal Services.</p>	<p><u>The Student Contract</u></p> <p>The Student Contract is reviewed annually to ensure that its provisions remain compliant with consumer law, do not contain unfair contract terms and provide students with certainty on material information that may impact their decisions. This was reviewed and approved by Academic Board at its meeting on 12 June 2024. Amendments were proposed to make clearer that the terms and conditions form part of the Student Contract; that should a student's visa be revoked that the Student Contract can then be revoked; to provide greater clarity on Course Fees; and to reflect recent Competition and Markets Authority guidance that disclaimers related to: strikes by University staff; and the availability of academic staff to teach optional module content cannot be classed as Events Outside the University's Control.</p> <p><u>Policies</u></p> <p>The University has a Refund and Compensation Policy which sets out the circumstances in which the University will refund tuition fees and other relevant costs to students and provide compensation where necessary if the University is no longer able to preserve continuation of study for one or more of its students. Students are advised that in addition to the rights set out in this Policy they also have additional statutory remedies under the Consumer Rights Act 2015.</p> <p>The University has a Student Protection Plan published on the University website. This provides measures to protect students in the event of course or campus closure.</p> <p>There is a fair and transparent complaints procedure in place.</p> <p><u>Course Information</u></p> <p>Course information provided on the website is compliant with the provisions of the Consumer Rights Act 2015 and the guidance issued by the Competition and Markets Authority for higher education providers: Consumer law advice for higher education providers.</p>
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		<p>Information is provided on: course title; entry requirements; core modules and likely optional modules; information about the composition of the course and how it will be delivered and the balance between the various elements; contact hours; expected workload; overall method of assessment; the award to be received; whether the course is accredited; total course costs including tuition fees and any extra costs.</p> <p>Contractual information is available in a durable medium and retained by Academic Year.</p> <p>Training was provided on this for colleagues at the point that the 2015 legislation was introduced and is now embedded as Business as Usual. An internal audit has been commissioned on this subject to take place in January 2025.</p> <p><u>Course changes</u></p> <p>In relation to course changes or closure, all proposals for new courses and changes to existing courses are assessed for their market, financial or consumer rights impact under the appropriate business evaluation process within the home school and within the Centre for Academic Development and Quality. Standardised documentation for this directs overt consideration of consumer rights issues.</p>
<p>Condition C2</p> <p>The University must:</p> <p>1) Co-operate with the requirements of the student complaints scheme run by the Office of the Independent Adjudicator (OIA) for Higher Education, including the subscription requirements.</p>	<p>Head of Governance and Legal Services</p>	<p>C2(1)</p> <p>NTU is a member of the OIA student complaints scheme.</p> <p>The statement was received by Academic Board at its meeting on 6 November 2024 and endorsed for onward submission to AARC.</p> <p>AARC received the Annual Statement from the OIA relating to the year ended 31 December 2023 at its meeting on 14 November 2024 and noted the content. The Committee was assured that the University was positioned well within the sector on this issue.</p>

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2) Make students aware of their ability to use the scheme.		C2(2) Students are provided with information about <u>how to make a complaint to the OIA</u> via the Student Hub, the Complaints Policy and the University website.
Condition C3 The University must: 1) Have in force and publish a student protection plan which has been approved by the OfS as appropriate for its assessment of the regulatory risk presented by the University and for the risk to continuation of study of all of its students. 2) Take all reasonable steps to implement the provisions of the plan if the events set out in the plan take place. 3) Inform the OfS of events, except for the closure of an individual course, that require the implementation of the provisions of the plan.	Deputy Vice-Chancellor and Provost Head of Governance and Legal Services	C3(1) The <u>Student Protection Plan</u> is in force and published on the University website. This is reviewed annually by the Head of Governance and Legal Services in consultation with colleagues in Academic Registry and the Centre for Academic Development and Quality. When amendments are required as a consequence of the annual review, the revised Plan is presented to the Academic Board for approval prior to submission to the OfS for formal approval. The Plan was last updated in June 2022 and submitted to the OfS for approval prior to publication on the NTU website. The Plan was most recently approved by the Academic Board in June 2022. The Plan is referred to in student course induction and is available to applicants and current students via the University's website. C3(2) Obligation noted. There have been no requirements to implement the provisions of the plan to date. C3(3) Obligation noted. There have been no requirements to notify the OfS of occasions where the provisions of the Student Protection Plan have required implementation.

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<p>Condition C4</p> <p>The University must comply with any Student Protection Direction in circumstances where the OfS reasonably considers that there is a material risk that the University will, or will be required by the operation of law to, fully or substantially cease the provision of higher education in England ('Market Exit Risk').</p>	Vice-Chancellor	Obligation noted. Compliance with this condition only becomes necessary in the event that a Student Protection Direction is put in place.
<p><u>Section D: Financial sustainability</u></p> <p>Condition D</p> <p>The University must:</p> <ol style="list-style-type: none"> 1) Be financially viable. 2) Be financially sustainable. 3) Have the necessary financial resources to provide and fully deliver the higher education courses as it has advertised and as it has contracted to deliver them. 4) Have the necessary financial resources to continue to comply with all conditions of its registration. 	<p>Board of Governors and its sub-committees</p> <p>University Executive Team</p>	<p>The University's budget is reviewed annually by the University Executive Team ahead of discussion with and approval by the Strategy, Policy, Finance and Resources Committee prior to presentation to the Board of Governors for final approval. This includes a five-year financial forecast submitted annually to the OfS. The Audit and Risk Management Committee reviews the stress testing analysis work contained within the annual budget and the five year financial forecast.</p> <p>The financial statements of the University are reviewed annually by the Audit and Risk Management Committee, with information also considered by the Strategy, Policy, Finances and Resources Committee, prior to presentation to the Board of Governors for approval. The <u>financial statements</u> are published on the NTU website.</p> <p>The University's external auditors have provided a clean audit and positive assurance.</p>

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<p><u>Section E: Good governance</u></p> <p>Condition E1</p> <p>The University's governing documents must uphold the public interest governance principles that are applicable to the University.</p>	<p>Board of Governors</p> <p>Clerk to the Board of Governors</p>	<p>The Board of Governors seeks to conduct its affairs in accordance with recognised good practice in corporate governance. In pursuit of that objective, the Board has adopted the <u>Governance Code of Practice</u> published by the Committee of University Chairs (CUC), embraces the <u>Nolan Principles</u> and complies with the <u>Office for Students Public Interest Governance Principles</u>.</p> <p>The role of the Clerk to the Board of Governors includes ensuring ongoing compliance with the public interest governance principles.</p> <p>The University commissioned Advance HE to undertake a formal Governance Effectiveness Review during 2022, including reviewing compliance with the public interest governance principles. This concluded that the standard of governance at the University is very good, noting that where recommendations or suggestions were made, these were designed to build upon existing good practice. The <u>Governance Effectiveness Review</u> is available on the NTU website.</p>
<p>Condition E2</p> <p>The University must have in place adequate and effective management and governance arrangements to:</p> <ol style="list-style-type: none"> 1) Operate in accordance with its governing documents. 2) Deliver, in practice, the public interest governance principles that are applicable to it. 3) Provide and fully deliver the higher education courses advertised. 4) Continue to comply with all conditions of its registration. 	<p>Board of Governors</p> <p>Clerk to the Board of Governors</p>	<p>E2(1)</p> <p>The role of the Clerk to the Board includes ensuring that the Board operates at all times in accordance with its governing documents and the public interest governance principles. The Board has overall legal and constitutional responsibility for the conduct of the NTU's affairs in accordance with the <u>Instrument and Articles of Government</u>.</p> <p>The University's website provides detailed information on the governance arrangements in place including:</p> <ul style="list-style-type: none"> • <u>Governing documents; and</u> • <u>Minutes of Board of Governors' meetings.</u> <p>The University has a Scheme of Delegation which is published on MyHub and is available to colleagues. This is maintained by the Head of Governance and Legal Services.</p>

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		<p>A register of interests is maintained by Governance Services team to record declarations of conflicts of interest from Governors and Senior Managers of the University. This is updated annually in July.</p> <p>The University commissioned Advance HE to undertake a formal Governance Effectiveness Review during 2022. This concluded that the standard of governance at the University is very good, noting that where recommendations or suggestions were made, these were designed to build upon existing good practice. The <u>Governance Effectiveness Review</u> is available on the NTU website.</p> <p>E2 (2)</p> <p>The Public Interest Governance principles cover the following areas:</p> <p><u>Academic Freedom and Freedom of Speech</u></p> <p>The University is committed to protecting and promoting free speech and academic freedom so that students and colleagues can become acquainted with diverse viewpoints and provide an environment that encourages peaceful debate. Students are supported to develop skills to engage with new ideas and perspectives. Colleagues are supported in developing and disseminating the insights generated by the evidence from their research, scholarship, and practice. <u>The University's approach to Freedom of Speech and Academic Freedom</u> is available in its <u>Code of Practice</u> and a statement is published regarding this on the University website</p> <p><u>Accountability and Values appropriate to be recognised as a Higher Education Provider</u></p> <p>The University's values, Principles and Ways are embedded within its Strategy and reflected within its operating practices.</p> <p><u>Student Engagement</u></p> <p>The NTSU President is a member of the Board of Governors, of Academic Board and of the Strategy Policy Finance and Resources</p>

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		<p>Committee. The Vice-President for Education is in attendance at Academic Board and is a member of AARC. The Vice-President for Postgraduate is in attendance at Academic Board. Regular meetings are held with the Students' Union and with the executive team at the University.</p> <p><u>Academic Governance</u></p> <p>The Board of Governors obligations to receive and test assurance that academic governance is adequate and effective is discharged via Academic Board and subsequently with AARC prior to onward reporting to the Board of Governors.</p> <p><u>Risk Management</u></p> <p>Regular reporting of all risk management issues including for academic risk, is provided to the University Executive Team and the Audit and Risk Management Committee. Risks relating to academic matters are also shared with the AARC</p> <p><u>Value For Money</u></p> <p>The Internal Audit Service takes a continuous approach to reviewing Value for Money (VFM) and consideration is given to VFM issues in all relevant audits which are presented subsequently to the University Executive Team and the Audit and Risk Management Committee. Most audits delivered in 2023/24 have provided reasonable or substantial assurance in this area.</p> <p><u>Governing Body membership</u></p> <p>The size, composition, diversity and skills mix and the terms of office for individual Governors is considered by the Clerk to the Board of Governors and the Membership and Nominations Committee.</p> <p><u>Fit and Proper Obligations</u></p> <p>Information on the requirements and expectations of members of the governing body is provided upon appointment and monitored by the</p>

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		<p>Clerk to the Board of Governors or in the case of those with senior management responsibilities by the Director of HR</p> <p>E2(3)</p> <p>The higher education courses offered and advertised have continued to be delivered. Teach-Out arrangements are in place for courses which are subsequently withdrawn or suspended.</p> <p>E2(4)</p> <p>In order to assess the University's ongoing compliance with the conditions of registration, the Board of Governors will receive and consider an annual self-assessment in November each year.</p>
<p>Condition E3</p> <p>The governing body of a University must:</p> <ol style="list-style-type: none"> 1) Accept responsibility for the interactions between the University and the OfS and its designated bodies. 2) Ensure the University's compliance with all of its conditions of registration and with the OfS's accounts direction. 3) Nominate to the OfS a senior officer as the 'accountable officer' who has the responsibilities set out by the OfS for an accountable officer from time to time. 	<p>Board of Governors</p>	<p>E3(1)</p> <p>This obligation is noted. It is referred to within the Advice Note prepared for the Board of Governors on Legal and Regulatory issues that is provided upon induction and periodically thereafter.</p> <p>E3(2)</p> <p>From 2024, an annual self-assessment of compliance with the OfS conditions of registration will be undertaken.</p> <p>The University's published <u>Financial Statements</u> demonstrate compliance with accounts direction. In the opinion of the Independent Auditor, the requirements of the OfS's Accounts Direction (OfS 2019.41) have been met during 2023/24. This opinion is set out in the Financial Statements for 2023/24 which were received by the Audit and Risk Management Committee on 5 November 2024 and by the Board of Governors on 26 November 2024.</p> <p>E3(3)</p> <p>An accountable officer (the Vice-Chancellor) has been nominated to the OfS and any change in circumstances would be notified to and approved by the OfS.</p>

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Condition E4 The governing body of the University must notify the OfS of any change of which it becomes aware which affects the accuracy of the information contained in the University's entry in the Register.	Board of Governors	Obligation noted. The OfS was advised when a new Pro-Chancellor and Chair of the Board of Governors was appointed in August 2023 and was advised of a change in the Prevent Lead for the University in August 2022.
Condition E5 The University must comply with guidance published by the OfS to facilitate, in co-operation with electoral registration officers, the electoral registration of students.	University Executive Team, led by Chief Operating Officer and Registrar	Information is available to students via Student Hub on how to register to vote and is raised with students as part of the induction process. NTSU officers held discussions with the University about improving the voter registration process for students in advance of the East Midlands Mayoral Authority and General Elections in July 2024. A register to vote campaign ran with both universities and students' unions in the City.
Condition E6 * not in force until August 2025 The University must set out how it will protect students from Harassment and Sexual Misconduct. This requires universities to: <ul style="list-style-type: none"> • Adopt the standard definitions used by the OfS for harassment and sexual misconduct; • Publish and maintain policies and procedures that sets out its approach to tackling harassment and sexual misconduct, taking the form of a published '<i>single comprehensive source of information</i>; 	University Executive Team Board of Governors	An assessment of NTU's practice (which is already well established and robust) has been undertaken to identify whether there may be any areas of improvement and shared with the University Executive Team. There were many examples of good practice and some suggested areas of improvement/ enhancements to practice identified. An action plan has been agreed to ensure full compliance with the Condition of Registration ahead of its implementation in August 2025. NTU does not use non-disclosure agreements in incidents related to Harassment and Sexual Misconduct.

OfS Condition	Executive and Governance Leads	Evidence of Compliance
<ul style="list-style-type: none"> • Have the necessary capacity and resources to facilitate compliance with the condition; • Comply with the condition in a manner that is consistent with freedom of speech principles; • Prohibit the use of non-disclosure agreements in cases of harassment or sexual misconduct (from 1 September 2024); and • Impose requirements relating to personal relationships between staff and students. 		
<p><u>Section F: Information for students</u></p> <p>Condition F1</p> <p>The University must provide to the OfS, and publish, in the manner and form specified by the OfS, the transparency information set out in section 9 of HERA.</p>	<p>University Executive Team, led by the Chief Operating Officer and Registrar</p>	<p>The <u>University's Transparency Data</u> is published on the website.</p>
<p>Condition F2</p> <p>The University must provide to the OfS, and publish, information about its arrangements for a student to transfer.</p>	<p>University Executive Team, led by the Deputy Vice-Chancellor and Provost</p>	<p>Information about <u>how to transfer to NTU</u> is available on the University's website.</p> <p>The University also provides <u>assistance for students who wish to transfer out of NTU</u>.</p>

OfS Condition	Executive and Governance Leads	Evidence of Compliance
<p>Condition F3</p> <p>For the purpose of assisting the OfS in performing any function, or exercising any power, conferred on the OfS under any legislation, the governing body of a University must:</p> <ol style="list-style-type: none"> 1) Provide the OfS, or a person nominated by the OfS, with such information as the OfS specifies at the time and in the manner and form specified. 2) Permit the OfS to verify, or arrange for the independent verification by a person nominated by the OfS of such information as the OfS specifies at the time and in the manner specified and must notify the OfS of the outcome of any independent verification at the time and in the manner and form specified. 3) Take such steps as the OfS reasonably requests to co-operate with any monitoring or investigation by the OfS, in particular, but not limited to, providing explanations or making available documents to the OfS or a person nominated by it or making available members of staff to meet with the OfS or a person nominated by it. 	<p>Head of Governance and Legal Services</p> <p>Board of Governors</p> <p>Vice-Chancellor</p>	<p>Reportable events are reported to OfS as required. There were no events reported in 2023/24.</p> <p>These obligations are noted.</p>

OfS Condition	Executive and Governance Leads	Evidence of Compliance
<p>Condition F4</p> <p>For the purposes of the designated data body (DDB)'s duties under sections 64(1) and 65(1) of HERA, the University must provide the DDB with such information as the DDB specifies at the time and in the manner and form specified by the DDB.</p>	<p>Board of Governors and University Executive Team</p>	<p>The Vice-Chancellor, as accountable officer, has overall responsibility for compliance with the OfS Regulatory Framework. The Vice-Chancellor places reliance on the work of the designated senior colleagues to produce individual data returns to OfS, HESA and other relevant public bodies.</p> <p>The Audit and Risk Management Committee oversees data quality and the submission of data returns. All returns were submitted on time in 2023/24. The Data Quality Annual Report sets out the data assurance management controls for the main regulatory returns, including the Data Futures: HESA Student Return. The Audit and Risk Management Committee considers the University's effectiveness in respect of data quality assurance in its annual report each year. This annual report is presented thereafter to the Board of Governors in November each year.</p>
<p><u>Section G: Accountability for fees and funding</u></p> <p>Condition G1</p> <p>A University in the Approved (fee cap) category must charge qualifying persons on qualifying courses fees that do not exceed the relevant fee limit determined by the University's quality rating and its access and participation plan.</p>	<p>Boards of Governors and University Executive Team</p>	<p>The University has charged fees in accordance with published fee schedules. The <u>Student Terms and Conditions</u> set out that the fees for full-time Home undergraduate students are regulated by UK government. The University reviews its <u>Tuition Fees</u> each year and publishes information regarding these on its website. For regulated fees, any increases for subsequent years are limited to increases in inflation based on the Retail Price Index and in accordance with any maximum limit specified by the Government. The Fee Payment Conditions provide additional information.</p> <p>The University's <u>APP for 2020/21 to 2024/25</u> is published on the NTU website both in summary form and the full document.</p> <p>The Extended University Executive Team considered and approved the proposed approach to non-regulated fees and associated scholarships and fee discounts for 2025/26 entry at its meeting on 7 October 2024. This included fees for Postgraduate Taught Home and International Students. An assumed non-regulated fee rise for Home and International students is set out in the five-year financial plan.</p>

OfS Condition	Executive and Governance Leads	Evidence of Compliance
<p>Condition G2</p> <p>The University must comply with any terms and conditions attached to financial support received from the OfS and UK Research and Innovation (UKRI) under sections 41(1) and/or 94(2) of HERA. A breach of such terms and conditions will be a breach of this condition of registration.</p>	<p>Board of Governors and University Executive Team, led by the Pro Vice-Chancellor (Research and International)</p>	<p>The University publishes an <u>Annual Statement on Research Integrity</u> and a <u>Code of Practice for Research</u>, both of which are available on the University website. The Annual Statement on Research Integrity was considered by Academic Board at its meeting on 28 February 2024.</p> <p>The Independent Auditor's report to the Board of Governors (part of the Financial Statements for 2023/24) confirmed that in their opinion, in all material aspects, funds provided by the OfS, UK Research and Innovation (including Research England), the Education and Skills Funding Agency and the Department for Education have been applied in accordance with the relevant terms and conditions. The Financial Statements were received by the Audit and Risk Management Committee on 5 November 2024 and by the Board of Governors on 26 November 2024.</p>
<p>Condition G3</p> <p>The University must pay:</p> <ol style="list-style-type: none"> 1) Its annual registration fee and other OfS fees in accordance with regulations made by the Secretary of State. 2) The fees charged by the designated bodies. 	<p>Director of Finance</p>	<p>Arrangements are in place to ensure that the required fees are paid in full by the relevant deadline.</p>